

## A NEW PARADIGM FOR SOUND DESIGN

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### ABSTRACT

A sound scene can be defined as any “environmental” sound that has a consistent background texture, with one or more potentially recurring foreground events. We describe a data-driven framework for analyzing, transforming, and synthesizing high-quality sound scenes, with flexible control over the components of the synthesized sound. Given one or more sound scenes, we provide well-defined means to: (1) identify points of interest in the sound and extract them into reusable templates, (2) transform sound components independently of the background or other events, (3) continually re-synthesize the background texture in a perceptually convincing manner, and (4) controllably place event templates over the background, varying key parameters such as density, periodicity, relative loudness, and spatial positioning. Contributions include: techniques and paradigms for template selection and extraction, independent sound transformation and flexible re-synthesis; extensions to a wavelet-based background analysis/synthesis; and user interfaces to facilitate the various phases. Given this framework, it is possible to completely transform an existing sound scene, dynamically generate sound scenes of unlimited length, and construct new sound scenes by combining elements from different sound scenes.

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### 1. INTRODUCTION

Many sound synthesis techniques focus on generating foreground sounds, which by themselves do not generally give a listener a strong sense of being in a real-world environment. This paper introduces techniques and paradigms for working with the totality of foreground and background sounds that compose a sound scene. Existing methods that deal with pre-recorded sound do not provide suitable analysis and synthesis techniques for a sound scene to be composed from selected components of different existing sounds. Naive approaches such as repeatedly playing or combining raw segments of original recordings do not sound convincing, while more complex synthesis methods lack flexibility both in creating scenes and in the amount of user control needed.

Given one or more existing sound scenes, our task is to generate from these any amount of perceptually convincing sound, arbitrarily similar to or different from the original sounds, that can be parametrically controlled to fit the user’s specifications. One of our aims is to provide a flexible tool for easily modeling and generating sound scenes for entertainment (movies, TV, and games), Virtual and Augmented Reality, and art projects such as live performances and installations. Towards this aim, we introduce TAPESTREA: Techniques and Paradigms for Expressive Synthesis, Transformation and Rendering of Environmental Audio. Our approach is based on the notion that sound scenes are composed of events

and background texture, which are best modeled separately. We separate a sound scene into the following components: (1) *Deterministic events*: composed of highly sinusoidal components, often perceived as pitched events, such as a bird’s chirp or a baby’s cry; (2) *Transient events*: brief non-sinusoidal events, such as footsteps; (3) *Stochastic background*: the “din” or residue remaining after the removal of deterministic and transient components, such as wind, ocean waves, or street noise.

TAPESTREA analyzes and synthesizes each component separately, using algorithms suitable to the component type. It applies spectral modeling [1] to extract deterministic events, and time-domain analysis to detect transient events. Each event can then be transformed and synthesized individually. The stochastic background is obtained by removing deterministic and transient events from the given sound and filling in the holes left by transient removal; background is then dynamically generated using an improved wavelet tree learning algorithm [2].

TAPESTREA is distinct from other sound analysis and synthesis methods in that it allows users to: (1) point at a sound or a part of a sound, extract it, and request more or less of it in the final scene, (2) transform that sound independently of the background, (3) flexibly control important parameters of the synthesis, such as density, periodicity, relative gain, and spatial positioning of the components (4) construct novel sounds in a well-defined manner.

The rest of the paper is structured as follows: In Section 2 we describe related work. Section 3 provides an overview of our approach along with an example highlighting how it can be used. Section 4 describes the analysis stage of our framework, section 5 describes the possible transformations on events, and section 6 describes the synthesis phase. Section 7 provides details about our user interface and section 8 summarizes results and contributions. Section 9 describes our conclusions and directions for future work.

### 2. RELATED WORK

#### 2.1. Simulated and Model-based Foreground Sounds

Simulation and model-based sound synthesis techniques are based on physical models of the objects, the world, and/or the interactions between these [3]. Physically based models have been used to generate foreground sounds caused by object interactions, including walking sounds [4], sounds caused by the motion of solid objects [5], complex sounds due to individual objects and gestures [6], and contact sounds [7] such as colliding, rolling, and sliding.

#### 2.2. Background Sound Textures

A sound texture can be described as a sound with structural elements that repeat over time, but with some randomness. The sound

of rain falling or applause are examples of sound textures. Textures often form a large part of the background of sound scenes.

Athineos and Ellis [8] modeled sound textures composed of very brief granular events known as *micro-transients*, such as fire crackling or soda being poured out of a bottle. Zhu and Wyse [9] extended their technique to separate the foreground transient sequence from the background din in the source texture and resynthesized these separately. Both these methods are effective on textures that primarily contain micro-transients, but do not generalize well to other sounds. For instance, the foreground-background separation misses spectral foreground events, as it does not take frequency into account while identifying events.

Miner and Caudell [10] used wavelets to decompose, modify, and re-synthesize sound textures, concentrating on the perceptual effects of various transformations. Dubnov et. al. [2] also used a wavelet decomposition to analyze and generate more of a sound texture. Their method works well for sounds that are mostly stochastic or have very brief pitched portions. However, sounds with continuous components, such as a formula-one racecar engine, sometimes get chopped up, while rhythmic sounds may lose their rhythm during synthesis. The stochastic model is also not suitable for sounds with many sinusoidal components.

In general, these existing approaches work only for mostly stochastic sounds and do not allow flexible control over the output—either the entire texture is transformed or segments are shuffled and concatenated. Hence these methods are insufficient for sounds that have various foreground events and background playing simultaneously. Our approach overcomes these limitations by isolating and removing pitched sounds, performing modified wavelet tree learning [2] on the remaining stochastic part, and re-inserting the extracted components afterwards. We separate the pitched components from the sound texture using spectral modeling.

### 2.3. Spectral Modeling

Spectral modeling [1] extends the original sinusoidal modeling algorithm [11] by posing the concept of “sines plus noise,” based on the notion that some components of sound fit a sinusoidal model while others are better modeled by spectrally shaped noise. While Serra and Smith [1] initially applied this to musical instrument sounds, we use it to extract *deterministic events* from any recorded sound scene. Sinusoidal modeling also enables modification of the original sound before re-synthesis, for instance by pitch-shifting and time-stretching. Other related work on spectral analysis includes alternatives to the Fourier transform for estimating the spectra of specific kinds of signals [12, 13].

Existing tools for spectral analysis and re-synthesis, such as SPEAR [14] and the CLAM library [15], allow high-level sinusoidal analysis, transformations and re-synthesis, but do not offer the level of parametric control over these stages suitable for analyzing and creating sound scenes. Further, they lack a framework for processing transients and stochastic background components.

### 2.4. Sound Editors

Current tools for commercial or home audio production include a range of sound editors. Free or inexpensive commercially available software such as Audacity and GoldWave perform simple audio production tasks. Midline audio editing systems, including Peak, Logic, and Cubase, are geared towards music production

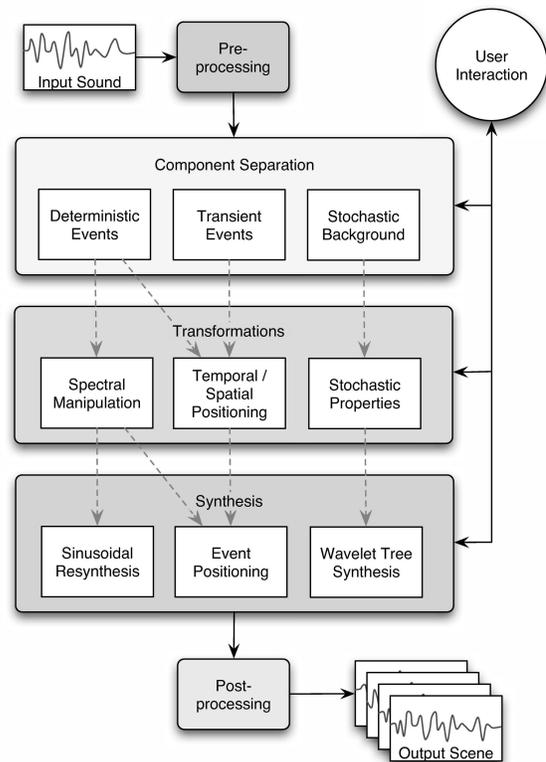


Figure 1: Stages in our pipeline: (1) preprocessing, (2) analysis, (3) transformation, (4) synthesis.

and often offer real-time MIDI sequencing capability. At the high end are digital audio production hardware/software systems such as Pro Tools, geared towards commercial sound production. Most of these products support Virtual Studio Technology (VST) plugins that perform synthesis algorithms and apply effects such as reverb. However, none of them provides one real-time, extensible, integrated analysis-transformation-synthesis workspace.

## 3. EXAMPLE AND OVERVIEW OF OUR APPROACH

The TAPESTREA system starts by loading a 5–15 seconds or longer existing sound scene, such as the sound of a city street, seagulls by the ocean or children playing in a park. Sound events in the park scene may include children yelling, a ball bouncing, and geese honking in a nearby pond. The background texture might consist of the general din of the surroundings.

Figure 1 depicts the phases in the TAPESTREA pipeline. The existing sound scene first undergoes a basic preprocessing phase involving sample-rate/data-depth conversion as needed, channel information, DC blocking and data normalization. Next, it passes through the analysis phase, where the user extracts deterministic (children yelling, geese honking), transient (ball bouncing) and stochastic background (general din) components by specifying analysis parameters. Each component can be played back separately and stored as a template for future use. For example, one bounce of the ball can be stored as a transient template while individual yells can be saved as deterministic event templates. In the transformation and synthesis phase, the system or user

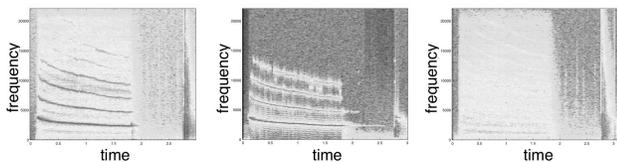


Figure 2: Separating sinusoidal tracks from stochastic residue: (a) original sound; (b) sinusoidal tracks; (c) residue.

parametrically specifies how to construct the output sound scene. Transformations are applied to individual templates and these templates are combined in specified ways to generate a complete sound scene. For instance, the output sound scene can consist of a repeatedly bouncing ball and many children yelling at different pitches and times over a continuous general din, to simulate a children's game with enthusiastic spectators in a park without geese. The output sound can also include templates from other existing sound scenes, such as a referee's whistle. The synthesized sound scene can be written to a file or played continuously in real-time for as long as needed. TAPESTREA also includes a graphical user interface for interactive control of the analysis, transformation and synthesis parameters. The following sections provide more in-depth information on the processing phases and the user interface.

#### 4. EVENT IDENTIFICATION AND ISOLATION

The first step in our framework is to identify and separate foreground events from background noise. Foreground events are parts of the scene perceived as distinct occurrences, and include both *deterministic events* (the sinusoidal or pitched components of a sound) and *transient events* (brief bursts of stochastic energy). Removing these leaves us with the *stochastic background*.

##### 4.1. Sinusoidal Modeling

Deterministic events are identified through sinusoidal analysis based on the spectral modeling framework. The input sound scene is read in as possibly overlapping frames, each of which is transformed into the frequency domain using the FFT and processed separately. The maximum and average magnitudes of the spectral frame are computed and stored. The following steps are then repeated until either a specified maximum number ( $N$ ) of peaks have been located or no more peaks are present: (1) The maximum-magnitude bin in the frame, within the specified frequency range, is located. (2) If the ratio of its magnitude to the average magnitude of the frame is below a specified threshold, it is assumed to be noise and we deduce that no more peaks are present. (3) If its magnitude is above a specified absolute threshold, it is added as a sinusoidal peak and the bins it covered are zeroed out in the analysis frame.

All the sinusoidal peaks and FFT frames can also be precomputed and stored. All peaks in a frame are found by locating bins where the derivative of the magnitude changes from positive to negative. The peaks for each frame are stored in decreasing magnitude order. At run-time, the top  $N$  peaks that satisfy any frequency and threshold bounds are selected per frame for peak matching.

Once the top  $N$  peaks in all the frames have been collected, peaks are matched from frame to frame if they occur at sufficiently similar frequencies. Over time this yields *tracks* of peaks lasting

across frames. The matching and updating of tracks takes place as follows: (1) Each existing track from previous frames selects a current frame peak closest to it in frequency. If the difference in frequency is above a specified threshold, that track is dormant and the selected peak remains unmatched. (2) All unmatched peaks are added as new tracks, and all existing tracks that have not found a continuation are removed if they have remained dormant for a specified number of frames. (3) Tracks that continue across a specified minimum number of frames are retained.

Finally, TAPESTREA can parametrically group related tracks [16, 17] to identify events. A track is judged to belong in an existing group if it has a minimum specified time-overlap with the group and either: (1) its frequency is harmonically related to that of a track in the group, (2) its frequency and amplitude change proportionally to the group's average frequency and amplitude, or (3) it shares common onset and offset times with the group average. If a track fits in multiple groups, these groups are merged. While the grouping could benefit from a more sophisticated algorithm or machine learning, it may be fine-tuned for specific sounds by manipulating error thresholds for each grouping category. Groups that last over a specified minimum time span are considered deterministic events. If grouping is not selected, all the tracks found are together considered a single event. Each deterministic event is defined a list of sinusoidal tracks, with a history of each track's frequency, phase and magnitude, and onset and completion times.

The residue, or the sound with deterministic components removed, is extracted after the sinusoidal tracks have been identified. TAPESTREA eliminates peaks in a sinusoidal track from the corresponding spectral frame by smoothing down the magnitudes of the bins beneath the peak. It also randomizes the phase in these bins. Figure 2 shows sinusoidal separation results.

##### 4.2. Transient Detection and Separation

Transients are brief stochastic sounds with high energy. While a sinusoidal track looks like a near-horizontal line on a spectrogram, a transient appears as a vertical line, representing the simultaneous presence of information at many frequencies. Transients are usually detected in the time domain by observing changes in signal energy over time [18, 19]. TAPESTREA processes the entire sound using a non-linear one-pole envelope filter with a sharp attack and gradual decay to detect sudden increases in energy. Points where the ratio of the envelope's derivative to the average frame energy is above a user-specified threshold mark transient onsets. A transient's length is also user-specified and can thus include any amount of the decay. Other real-time analysis parameters include the filter attack and decay coefficients, and aging amount in computing average frame energy. Transient events, being brief and noisy, are represented as raw sound clips, although they can also be modeled by peak picking in the time domain [18].

Detected transients are removed, and the resulting "holes" are filled by applying wavelet tree resynthesis [2]. The nearest transient-free segments before and after a transient event are combined to estimate the background that should replace it. Wavelet tree learning generates more of this background, which is overlap-added into the original sound to replace the transient. The residue from the sinusoidal analysis, with transients removed in this way, is saved to file and used for stochastic background generation in the synthesis phase. Figure 3 demonstrates the hole-filling.

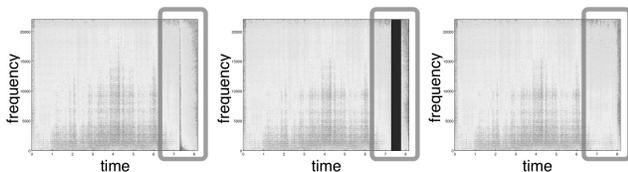


Figure 3: *Transient removal and hole filling: (a) firework with pop (at 7.2 sec); (b) pop removed; (c) hole filled.*

## 5. TRANSFORMATIONS

We now have *deterministic events* isolated in time and frequency from the background, *transient events*, and *stochastic background* texture. Output sound scenes are parametrically constructed from these templates. The parametric model lets each transformation be applied to each component independently of others.

### 5.1. Event Transformations

By stretching or compressing spectral data, we can raise or lower the **frequency** content of a sound without affecting its duration. For deterministic events with sinusoidal tracks, TAPESTREA linearly scales the frequency at each point in each track, giving high fidelity frequency warping for almost any factor (limited by our range of hearing). For transients, it uses a standard phase vocoder [20] to similarly scale the frequency for each frame.

The track-based representation of deterministic events allows us to robustly change the **duration** of each track by almost any factor without producing artifacts, by scaling the time values in the time-to-frequency trajectories of their tracks. Both time-stretching and frequency-warping can take place in real-time for deterministic events. Time-stretching for transients once again uses a phase vocoder to stretch or shorten the temporal overlap between frames.

TAPESTREA offers control over the **temporal placement** of an individual event, explicitly or using a probability distribution. Explicitly, an event instance can be placed on a timeline at a specified onset time. The timeline may also include other event instances and background sound. Repeating events can be defined by a mean event density and desired repetition periodicity, and generated according to these parameters by a Gaussian or other distribution. Events can also be panned across two speakers.

### 5.2. Stochastic Background Transformations

It is possible to interactively control the similarity between an extracted background and the synthesized background generated from its template. The similarity or randomness is governed by the wavelet tree learning (Section 6.2) parameters. Also, the generated background can play for any arbitrary amount of time.

## 6. SYNTHESIS

TAPESTREA synthesizes a sound scene following the specified transformations. The background component and the events are synthesized separately and combined to produce the final scene. Each component can also be heard in isolation so that a user can determine its role in the final scene. Although we discuss transformation and synthesis in separate sections for clarity, these two aspects are closely related. For example, components can be transformed in certain ways even while they are being synthesized.

### 6.1. Event Synthesis

Deterministic events are synthesized from their defining tracks with sinusoidal re-synthesis. The system linearly interpolates frequency and magnitude between consecutive frames before computing the time-domain sound from these. Transient events are directly played back or, if a frequency-warping or time-stretching factor is specified, analyzed and synthesized through a phase vocoder.

### 6.2. Stochastic Background Generation

The background is generated using an extension of the wavelet tree learning algorithm by Dubnov et. al. [2]. The extracted stochastic background is decomposed into a wavelet tree (Daubechies, 5 vanishing moments), where each node represents a wavelet coefficient. A new wavelet tree is learned, with nodes selected from the original tree by their context, within a specified randomness range.

We added the option of incorporating randomness into the first step of the learning, and modified the amount of context used ('k') to depend on the node's depth. We also found that we can avoid learning the coefficients at the highest resolutions, without perceptually altering the results. Since the wavelet tree is binary, learning at the highest levels takes longer, but randomizes mainly high-frequency information. The optimization let us build a real-time version of wavelet tree learning, with interactive control over the learning parameters. The wavelet tree learning also works better with the separated stochastic background as input since the harmonic events it would otherwise garble have been removed.

### 6.3. Putting It All Together

To construct a sound scene, extracted background and events are combined to the user's preference. A scene of a specified length can be generated by placing templates on a timeline of the desired length. Infinitely long sound scenes can also be generated and modified on-the-fly. The improved wavelet tree algorithm synthesizes unlimited background texture, while event templates can be temporally placed against the background either with fine control or in an automated manner (see Section 5.1).

This framework adapts to many techniques for synthesizing the final sound. A user may craft a sound scene by listening to and adjusting the components separately, based on how they sound as a group or individually. The combined sound can then be similarly sculpted. On the other hand, the synthesis can also be driven from a game or animation algorithm that specifies transformations according to parameters drawn from the game or animation itself.

## 7. USER INTERFACE

The user interface (Figure 4) is separated into two phases: analysis and synthesis. In the analysis stage, the user can load a sound file and view its waveform, frame-by-frame spectrum and spectrogram. These views allow the user to visually identify events and perform analysis on appropriate time and frequency regions to extract specific events. Time and frequency bounds for the analysis can be specified by adjusting range sliders in the waveform and spectrum views or by selecting a rectangle in the spectrogram view. The frame-by-frame spectrum also shows the sinusoidal analysis threshold. Direct control over many other analysis parameters (Section 4) is also available. Having adjusted the analysis

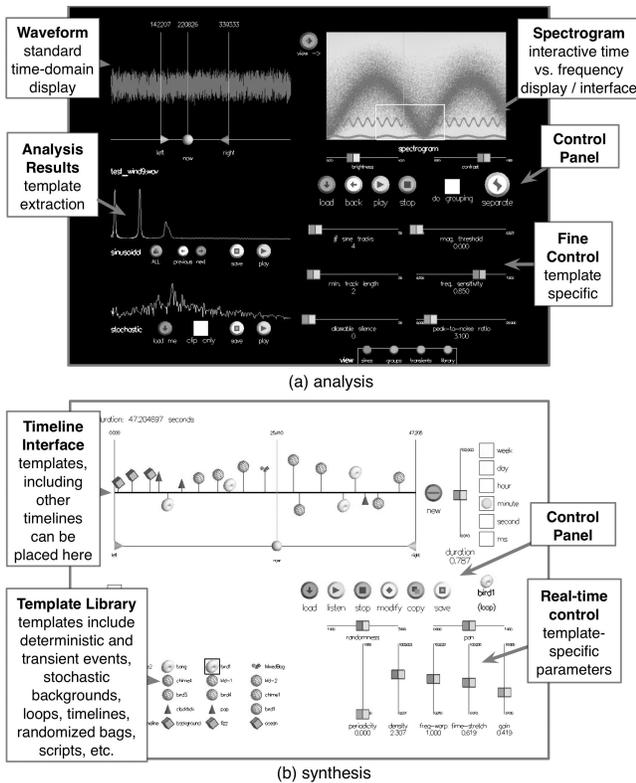


Figure 4: Screen shots of user interface.

parameters, the user starts analysis by clicking a button. The extracted events are played separately, along with a frame-by-frame view of their spectrum (for deterministic events) or a zoomed in view of their waveform (for transient events). The stochastic background is similarly played and viewed, or loaded for further analysis. An extracted event or background can be saved as a template for use in the synthesis phase. The user may then perform further analysis on the same source sound or a different one, or move on to the synthesis phase.

The synthesis stage of the interface offers a framework for applying transformations and synthesizing the resulting sounds. Templates saved from the analysis stage are available in the synthesis stage for listening, transforming, and placing in a sound scene. Templates include the following types: (1) *deterministic events*, (2) *transient events*, (3) *stochastic background*, (4) *loops*, and (5) *timelines*. The first three are imported directly from the analysis results, while loops and timelines are as described in Section 5.1. Any event can be saved as a loop, with parameters specifying how often it repeats and how periodic the repetition is. Event instances within a loop can also be randomly transformed within a controllable range, so that every iteration of the loop sounds slightly different. This is useful in generating ‘crowd’ sounds, such as a flock of birds constructed from a single extracted chirp, or many people from a single voice. While loops parametrically repeat a single event, timelines control the explicit temporal placement of any number of components for a specified duration. Any existing template can be dragged on to a timeline; its location on the timeline determines when it is synthesized. When a timeline is played, each template on it is synthesized at the appropriate time step and played for its duration or until the timeline ends. It is also possible to place timelines within timelines, to capture details of

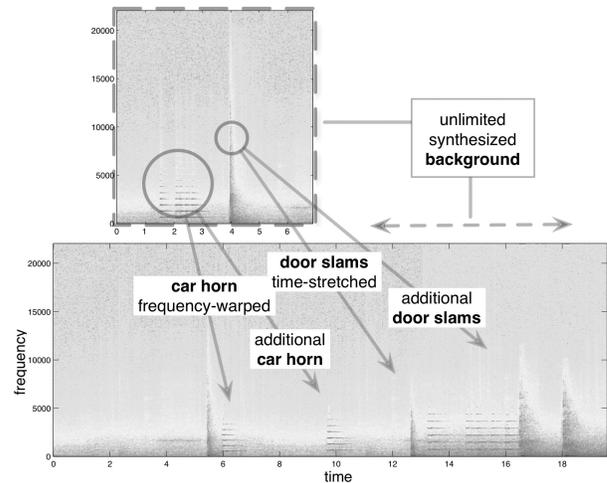


Figure 5: Existing sound scene (top) lengthened and transformed (bottom) with time/frequency warps and continuous background.

a sound scene at different temporal resolutions. Any synthesized sound scene can be written to file while it plays, or play forever.

## 8. RESULTS AND CONTRIBUTIONS

Figure 5 shows an example where a single existing sound scene is transformed into a different one. An original 6 second recording from a warehouse environment, with some background noise, a multi-toot horn, and a door slamming was analyzed. The multi-toot horn was extracted and saved as multi-toot and single-toot deterministic event templates. The door-slam transient and final stochastic background sound were separated. A new scene of length 19 seconds was constructed using randomized non-looping wavelet tree re-synthesis for the background. The new scene combines multiple and overlapping versions of frequency and time shifted single horns, a multi-toot horn, and door slams (some transformed so greatly that they sound like explosions).

Our main contributions comprise of the approach and framework for analysis, transformation, and synthesis of sound scenes. In particular, they are (1) techniques and paradigms for interactive selection and extraction of templates from a sound scene, (2) techniques for parametrically transforming components independently of each other, (3) a framework for flexible resynthesis of events and synthesis of novel sound scenes, (4) an interface to facilitate each analysis and synthesis task. Furthermore, we have refined several of the core algorithms employed in our system, as follows.

Firstly, we extend the wavelet tree algorithm to continually resynthesize the background component, by speeding up the learning. Tests show a 4x speedup in total running time between the original algorithm (15 levels) and our modified version (stopping at 9 levels), for a 1 minute 58 second sound clip to be generated from an 18 second clip sampled at 11 kHz.

Secondly, we refine the sinusoidal extraction process by letting users parametrically extract specific events. The data structures for grouping and storing sinusoidal tracks as objects are also a first step towards object classification and computational auditory scene analysis [21].

Thirdly, we use wavelet tree learning to fill in the gap left by transient removal (Section 4.2). A clear sonic difference can be

discerned between attenuating the transient segment to the noise floor versus automatically replacing it with a stochastic sound clip produced by wavelet tree learning.

## 9. CONCLUSION AND FUTURE WORK

We have described a framework for extracting specific parts of existing sound scenes and flexibly reusing these to create new sound scenes of arbitrary length. It allows users to interactively highlight points of interest in the input sound, separating it into well-defined components. This allows greater control over the synthesized scene, letting elements from different sound scenes be transformed independently and combined. We have also demonstrated an interactive paradigm for building new sound scenes, which includes iterative refinement of components, interactive previews of transformations, grouping, and placement in time and space. Due to the separation, our system is effective in analyzing and synthesizing many classes of sounds. It is, to our knowledge, the first to provide a comprehensive approach for extracting / transforming / resynthesizing the different component templates, first individually, then into cohesive sound scenes.

While our system has no fundamental restriction on the type of input sound to analyze, there are some limitations. When two events overlap in both time and frequency, it can be hard for the analysis to distinguish between them. Further, it is difficult to extract an event's reverberation. Also, when events have strong deterministic as well as stochastic components, these components get separated and may be difficult to regroup. Future work includes overcoming these limitations by (1) using more sophisticated event tracking and grouping methods, and (2) extending the idea of objects to include composite events with both deterministic and transient components. In addition, we plan to combine machine learning techniques to classify events and to improve performance without human assistance over time.

To sum up, our main contributions comprise the approach, system, and interface for selective extraction, transformation, and resynthesis of sound scenes. While there is plenty of scope for future work, TAPESTREA makes it possible to create novel sound scenes from existing sounds in a flexible and parametrically controlled manner, providing a new paradigm for both real-time and offline sound production.

## 10. ACKNOWLEDGEMENTS

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